

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification⁶ : A61K 7/16</p>	<p>A1</p>	<p>(11) International Publication Number: WO 99/13852 (43) International Publication Date: 25 March 1999 (25.03.99)</p>
<p>(21) International Application Number: PCT/US98/18500 (22) International Filing Date: 18 September 1998 (18.09.98) (30) Priority Data: 60/059,222 18 September 1997 (18.09.97) US (71) Applicants (for all designated States except US): UNIVERSITY OF MARYLAND AT BALTIMORE [US/US]; 520 Lombard Street, Baltimore, MD 21201 (US). USBIOMATERIALS CORPORATION [US/US]; One Progress Boulevard, Alachua, FL 32615 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): LITKOWSKI, Leonard, J. [US/US]; 621 Sussex Road, Baltimore, MD 21286 (US). HACK, Gary, D. [US/US]; 8908 Skyrock Court, Columbia, MD 21046 (US). GREENSPAN, David, C. [US/US]; 3116 North West 62nd Terrace, Gainesville, FL 32606 (US). (74) Agents: GRUDZIECKI, Ronald, L. et al.; Burns, Doane, Swecker & Mathis, L.L.P., P.O. Box 1404, Alexandria, VA 22313-1404 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: METHODS AND COMPOSITIONS FOR WHITENING TEETH (57) Abstract Methods for whitening teeth including contacting teeth with an effective amount of particulate bioactive glass are disclosed.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LJ	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

METHODS AND COMPOSITIONS FOR WHITENING TEETH

FIELD OF THE INVENTION

5

The present invention relates to methods for whitening, lightening, bleaching or removing stains from teeth using certain bioactive glass compositions.

BACKGROUND OF THE INVENTION

10

A tooth is comprised of an inner dentin layer and an outer hard enamel layer that is the protective layer of the tooth. The enamel layer of the tooth is naturally an opaque white or slightly off-white color. It is this enamel layer that can become stained or discolored. The enamel layer of the tooth is composed of hydroxyapatite mineral crystals that create a somewhat porous surface. It is believed that this porous nature of the enamel layer is what allows staining agents and discoloring substances to permeate the enamel and discolor the tooth. In addition, the tooth naturally acquires a film called pellicle, made up of salivary glycoproteins. The pellicle may also acquire stain. As the terms "tooth" or "teeth" are used herein, they are intended to mean a material which is apatite and the acquired pellicle.

Many substances that a person confronts or comes in contact with on a daily basis can "stain" or reduce the "whiteness" of one's teeth. In particular, the foods, tobacco products and fluids such as tea and coffee, that one consumes tend to stain one's teeth. These staining and discoloring substances can then permeate the enamel layer. This problem occurs gradually over many years, but imparts a noticeable discoloration of the enamel of one's teeth.

WO 96/10985 discloses particulate bioactive silica containing glasses that can reduce pulpal irritation of a tooth and/or strengthen the structure of a tooth and therefore have use in the treatment of hypersensitive teeth. It is suggested that such glasses can consist solely of silicon oxide or silicon hydroxide or can contain one or more additional elements selected from Ca, P, Na, K, Al, B, N, Mg, Ti, or F. It is also suggested that it is advisable to use bioactive glass compositions comprising

calcium and phosphate which can help induce remineralisation of dentin or alternatively to use separate sources of calcium and phosphate together with a bioactive glass not containing them.

WO 97/27148 discloses particulate bioactive and biocompatible glasses
5 which can remineralise teeth and are of particular use in the reduction of dentin hypersensitivity.

Various treatment modalities have been used to whiten teeth including in-office bleaching, night guard bleaching and whitening toothpastes. However, the available methods and compositions are not satisfactory for a variety of reasons.
10 For example, shade reduction has been less than ideal.

SUMMARY OF THE INVENTION

It has now been discovered that the above-noted bioactive glasses can be
15 used to whiten teeth. Accordingly the present invention provides a method of whitening teeth, which comprises contacting a tooth structure with a tooth-whitening amount of a particulate bioactive and biocompatible glass.

DETAILED DESCRIPTION OF THE INVENTION

20

These following words are intended to be given the same meaning here as would be accorded to them in their contemporary usage in the oral and dental care arts. More specific usage for the invention herein is described below.

The term "whitening" is used herein at all occurrences to include bleaching,
25 lightening, or removing stain from the teeth.

The present invention provides a method for whitening, lightening or bleaching teeth. The method is also useful for removing stains from teeth. The method includes contacting teeth with an effective tooth-whitening amount of bioactive glass as disclosed in WO 96/10985 and WO 97/27148. Preferably,
30 multiple applications are carried out. Each application may include between about 0.02 to 0.3 grams of bioactive glass. Suitably, the instant method of whitening teeth comprises contacting the teeth with said effective tooth-whitening amount of bioactive glass twice daily for two weeks or more. As the term "tooth-whitening amount" is used herein, it is intended to mean any amount that will result in a Vita

shade guide lightening of one or more shades in a patient with a pre-treatment shade darker than A3.5 after 4 weeks of application twice daily for two minutes or more per application.

Accordingly the present invention provides a method for whitening teeth
 5 which comprises contacting teeth with an effective tooth-whitening amount of particulate bioactive and biocompatible glass comprising silicon oxide or hydroxide and optionally one or more elements selected from Na, K, Ca, Mg, B, Ti, Al, P, N or F.

Preferably the bioactive glass comprises at least Na, Ca and P, although it is
 10 possible to use simple sodium silica glasses together with external sources of calcium and phosphate. It will be understood by the skilled artisan that an external source of calcium and phosphate may be from saliva itself, or may be formulated into the oral hygiene composition.

A bioactive glass in accordance with the present invention is a glass
 15 composition that will form a layer of hydroxycarbonate apatite *in vitro* when placed in a simulated body fluid. Bioactive glasses in accordance with the present invention may be prepared by a variety of processes, e.g., melt-derived or sol gel and may have a variety of compositional elements and ranges. For example, the following composition by weight will provide an acceptable and preferred bioactive
 20 glass:

	SiO ₂	40-60
	CaO	10-30
	Na ₂ O	10-35
	P ₂ O ₅	2-8
25	CaF ₂	0-25
	B ₂ O ₃	0-10
	K ₂ O	0-8
	MgO	0-5

30 Bioactive glasses with these properties provide a more efficacious material for interaction with the tooth structure. The addition of fluoride in the glass composition will enhance and strengthen the tooth structure. Other examples include sol gel glasses having, for example, about 40 to about 86% by weight SiO₂, substantially no amount of sodium, about 6-36% by weight Ca and about 2-12% by

weight P_2O_5 . A biocompatible glass in accordance with the present invention is one that does not trigger an overwhelmingly adverse immune response.

In some embodiments of the present invention, extremely small particles are used. For example, particles that are in the range of 2 nm to submicron are
5 beneficial. Particle size in accordance with the present invention is determined by scanning electron microscopy or laser light scattering techniques (e.g., Coulter LS100). Surprisingly, the relatively small bioactive particulate glass does not generate a significant immune response. Moreover, it is generally not engulfed by macrophages and rendered inactive in this application.

10 The compositions of the present invention may be formulated as oral hygiene compositions such as dentifrices, toothpaste, gels, powders, mouthwashes, irrigating solutions, and presentations for sucking or chewing such as gums, pastilles, tablets, and lozenges.

Such oral hygiene compositions suitably comprise between 0.1 to 50% by
15 weight, preferably 1 to 25% by weight, more preferably 5 to 10% by weight of the bioactive glass.

In addition to the active ingredients, the oral hygiene compositions suitable for this invention will contain the usual carriers, binders, surfactants, humectants, coloring agents, pigments, antiplaque agents, anti-bacterial agents, bioadhesive-type
20 agents, abrasives, anticaries agents, flavorings, sweeteners, bulking agents, and the like.

In the case of a toothpaste formulation, an abrasive typically includes amorphous, gelled, precipitated, or fumed silica, plastics particles, alumina, calcium carbonate, and zinc orthophosphate, insoluble metaphosphates and calcium
25 pyrophosphate. Silica is an especially preferred abrasive for use herein. The patent and scientific literature is replete with examples of such abrasives. U.S. Patent 4,822,599 listing a series of dentifrice abrasives also references commercial sources and methods for their preparation. The bioactive glass particles may replace all, some, or none of the abrasive currently used in toothpastes.

30 Inorganic thickeners may be included in the dentifrices of the present invention and include fumed silicas such as Cabosil available from Cabot Corporation, and thickening silicas including those available from J. M. Huber designated Zeodent 165. Organic thickeners such as natural and synthetic gums and colloids may also be incorporated in the dentifrice composition of the present

invention. Examples of such thickeners include carrageenan (Irish moss), xanthan gum and sodium carboxymethyl cellulose, starch, polyvinylpyrrolidone, hydroxyethyl propyl cellulose, hydroxybutyl methyl cellulose, hydroxypropyl methyl cellulose, and hydroxyethyl cellulose (co-dried blends of microcrystalline cellulose/cellulose gum). The inorganic or organic thickener may be incorporated in the compositions of the present invention at a concentration of about 0.05 to about 2% by weight and preferably about 0.1 to about 1.5% by weight.

Fluoride-providing salts having anti-carries efficacy may also be incorporated in the oral compositions of the present invention and are characterized by their ability to release fluoride ions in water. It is preferable to employ a water-soluble salt fluoride providing about 10 to 5,000 ppm of fluoride ion and preferably about 1000 to 1500 ppm of fluoride ion. Among these materials are water-soluble alkali metal salts, for example, sodium fluoride, potassium fluoride, sodium monofluorophosphate and sodium fluorosilicate. Sodium monofluorophosphate is the preferred fluoride-providing salt.

Pigments used in the practice of the present invention include non-toxic, water insoluble inorganic pigments such as titanium dioxide and chromium oxide greens, ultramarine blues and pinks and ferric oxides as well as water insoluble dye lakes prepared by extending calcium or aluminum salts of FD&C dyes on alumina such as FD&C Green #1 lake, FD&C Blue #2 lake, FD&C R&D #30 lake and FD&C Yellow #15 lake. The pigments have a particle size in the range of 5-1000 microns, preferably 250-500 microns, and are present at a concentration of 0.5 to 3% by weight. Dyes are generally sensitive to the presence of the peroxide ingredient and are not included in the dentifrice although FD&C Green #3 has been found to be resistant to fading when CaO_2 is present in the dentifrice.

Any suitable flavoring or sweetening material may be employed. Examples of suitable flavoring ingredients are flavoring oils, e.g., oils of spearmint, peppermint, wintergreen, sassafras, clove, sage, eucalyptus, marjoram, cinnamon, lemon, and orange, and methyl salicylate. Suitable sweetening agents include sucrose, lactose, maltose, sorbitol, sodium cyclamate, perillartine, and sodium saccharin. Suitably, flavor and sweetening agents may together comprise from 0.01% to 5% or more of the preparations.

Various other materials may be incorporated into the oral composition components of this invention. Non-limiting examples thereof include preservatives,

silicones and chlorophyll compounds, vitamins such as vitamins B6, B12, C, E and K, antibacterial agents such as chlorhexidine, halogenated diphenyl ethers such as triclosan, desensitizing agents such as potassium nitrate and potassium citrate and mixtures thereof. These adjuvants are incorporated in the dentifrice in amounts
5 which do not substantially adversely affect the properties and characteristics desired, and are selected and used in proper amounts, depending upon the particular type of component involved.

The making of particulate bioactive glasses are well known to those of ordinary skill in the art and need no further description here. Similarly, the making
10 of gels, toothpastes, rinses, mouthwashes, gums, chewing gums are also well known in the art. Bioactive glasses can be introduced into these products by a variety of methods including simple mixing. Those of ordinary skill in the art will appreciate that in some circumstances it will be necessary to keep the bioactive glass from coming into contact with the aqueous or other components of the
15 delivery vehicle to prevent the bioactive glass from pre-reacting. This can be accomplished by a variety of ways known to those of ordinary skill in the art including, for example, two piece syringes with a mixing chamber.

In addition to direct application of the bioactive glass to the teeth, the bioactive glass composition of the present invention can also be applied in a saline
20 or distilled water based medium.

The present invention also provides the use of a bioactive and biocompatible glass as herein before defined optionally together with a source of calcium and/or phosphate in the manufacture of a composition for whitening teeth.

25

Example

Ten healthy adult volunteers were screened for acceptance into this 4-week clinical trial. At baseline, the shade measurement was taken from the middle third to the incisal edge with a Vita shade guide arranged in the following order: B1, A1, B2, D2, A2, C1, C2, D4, A3, D3, B3, A3.5, B4, C3, A4, C4 (Lumin Vacuum-
30 Farbskala, Vita). Only patients with shade no lighter than A3.5 were qualified for this study. Condition of hard tissue and soft tissue were noted for each subject prior to and at each study appointment. Patients were instructed to use only 7.5% dentifrice two times daily, maintain 2 minutes brushing time and to abstain from other oral care products. All subjects were recalled at two and four weeks.

At two weeks the mean shade tabs change was 4.2 with a range from 0 to 8. At four weeks the mean shade tabs changes was 5.8 with a range from 0-8. No deleterious effects on the hard tissue and soft tissue were noted. Statistic analysis demonstrated that a significant difference between both two weeks and four weeks and baseline ($p < 0.05$), ANOVA). In conclusion, 7.5% dentifrice was shown to
5 whiten the teeth with a mean shade change of 5.8 with no adverse effect. This study was supported in part by USBiomaterials Corporation.

The 7.5% dentifrice as described in the Example included 7.5% by weight of a bioactive glass having the following composition:

10

SiO ₂	45
CaO	24.5
Na ₂ O	24.5
P ₂ O ₅	6

15

We claim:

1. A method for whitening teeth comprising contacting teeth with an effective tooth-whitening amount of particulate bioactive and biocompatible glass comprising silicon oxide or hydroxide and optionally one or more elements selected from Na, K, Ca, Mg, B, Ti, Al, P, N or F.
2. A method according to claim 1 wherein the bioactive and biocompatible glass comprises at least Na, Ca and P.
3. A method according to claim 1 or 2 wherein the bioactive and biocompatible glass is administered together with a source of calcium and/or phosphate.
4. A method according to any one of claims 1 to 3 wherein the bioactive and biocompatible glass includes by weight percentage:
- | | |
|-------------------------------|-------|
| SiO ₂ | 40-60 |
| CaO | 10-30 |
| Na ₂ O | 10-35 |
| P ₂ O ₅ | 2-8 |
| CaF ₂ | 0-25 |
| B ₂ O ₃ | 0-10 |
| K ₂ O | 0-8 |
| MgO | 0-5. |
5. A method according to any one of claims 1 to 4, wherein said particulate bioactive and biocompatible glass includes particles less than about 90 nm.
6. A method according to any one of claims 1 to 5, wherein said particulate bioactive and biocompatible glass includes particles less than about 10 nm.

7. A method according to any one of claims 1 to 6, wherein said particulate bioactive and biocompatible glass includes particles less than about 5 mm.

5

8. A method according to any one of claims 1 to 7, wherein said particulate bioactive and biocompatible glass includes particles less than about 2 mm.

10

9. A method according to any one of claims 1 to 8, wherein said effective amount is about 0.02 to about 0.3 grams.

10. A method according to any one of claims 1 to 9, wherein the particulate glass is in a toothpaste or gum.

15

11. A method for whitening teeth comprising contacting teeth with an effective shade reducing amount of particulate bioactive and biocompatible glass as defined in any one of claims 1 to 8.

20

12. A tooth-whitening oral hygiene composition comprising an effective tooth-whitening amount of particulate bioactive and biocompatible glass as defined in any one of claims 1 to 8.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/18500

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 4 to 12
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/18500

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61K 7/16

US CL :424/49

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/49

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A,P	US 5,735,942 A (L. LITKOWSKI et al.) 07 April 1998 (see the entire document, bioactive glass toothpaste).	1 - 3
Y	WO 97/27148 A1 (UNIVERSITY OF MARYLAND AT BALTIMORE) 31 July 1997 (see the entire document, bioactive glass toothpaste).	1 - 3
Y	US 5,432,130 A (V. RHEINBERGER et al.) 11 July 1995 (blush-white or opalescent bioglass tooth tint dental material - see the entire document).	1 - 3



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:
 A document defining the general state of the art which is not considered to be of particular relevance
 E earlier document published on or after the international filing date
 L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
 O document referring to an oral disclosure, use, exhibition or other means
 P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
 X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
 Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
 A document member of the same patent family

Date of the actual completion of the international search

11 DECEMBER 1998

Date of mailing of the international search report

29 JAN 1999

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

SHEP ROSE aco

Telephone No. (703) 308-1235